

02-28-02

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Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

02/27/02
10663954 U.S. PRO

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	4695-00008	Total Pages	15+
		First Named Inventor or Application Identifier			
		C. Raymond Cheng			
APPLICATION ELEMENTS		Express Mail Label No.	EL812734822US		
See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO:	Commissioner for Patents Box Patent Application Washington, D.C. 20231		
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)		6. <input type="checkbox"/> Microfiche Computer Program (Appendix)			
2. <input checked="" type="checkbox"/> Specification [Total Pages 15] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure		7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies			
ACCOMPANYING APPLICATION PARTS					
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 4]		8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))			
4. Oath or Declaration [Total Pages 3] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)			
5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		10. <input type="checkbox"/> English Translation Document (if applicable)			
		11. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations			
		12. <input type="checkbox"/> Preliminary Amendment			
		13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)			
		14. <input type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior Statement(s) application, Status still proper and desired			
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)			
		16. <input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail</u>			
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: /					
18. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		Insert Customer No. or Attach bar code label here		<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below.	
NAME	Michael E. Taken (Reg. No. 28,120)				
ADDRESS	ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 East Wisconsin Avenue Suite 1100				
CITY	Milwaukee	STATE	Wisconsin	ZIP CODE	53202-4178
COUNTRY	U.S.A.	TELEPHONE	(414) 271-7590	FAX	(414) 271-5770

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CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL POST OFFICE TO ADDRESSEE in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on the 27th day of February, 2002, 2001. The Express Label is EL812734822US.

JoAnn Kuczynski
JoAnn Kuczynski

2-27-02
Date

1083954-022702

PTO/SB/17
(8/96)

FEE TRANSMITTAL

Application Number

Filing Date

First Named Inventor

Group Art Unit

Examiner Name

Attorney Docket Number

COMPLETE IF KNOWN

C. Raymond Cheng

4695-00008

Total Amount of Payment (\$) **992.00**

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

01.2000

Deposit
Account
Name

ANDRUS, SCEALES, STARKE & SAWALL, LLP

☒ Charge any additional fee required under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check

☐ Money
Order

☐ Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee

Code (\$) Code (\$) Fee Description

Fee Paid

105 130 205 65 Surcharge - late filing fee or oath
127 50 227 25 Surcharge - late provisional filing fee or cover sheet

139 130 139 130 Non-English specification
147 2,520 147 2,520 For filing a request for examination
112 920 112 920 Requesting publication of SIR prior to Examiner action

113 1,840 113 1,840 Requesting publication of SIR after Examiner action

115 110 215 55 Extension for response within first month

116 400 216 200 Extension for response within second month

117 920 217 460 Extension for response within third month

118 1,440 218 720 Extension for response within fourth month

128 1,960 228 980 Extension for response within fifth month

119 320 218 160 Notice of Appeal

120 320 220 160 Filing a brief in support of an appeal

121 280 221 140 Request for oral hearing

138 1,510 138 1,510 Petition to institute a public use proceeding

140 110 240 55 Petition to revive unavoidably abandoned application

141 1,280 241 640 Petition to revive unintentionally abandoned application

142 1,280 242 640 Utility issue fee (or reissue)

143 460 243 230 Design issue fee

144 620 244 310 Plant issue fee

122 130 122 130 Petitions to the Commissioner

123 50 123 50 Petitions related to provisional applications

126 180 126 180 Submission of Information Disclos. Stmt.

581 40 581 40 Recording each patent assignment per property (times number of properties)

146 740 246 370 Filing a submission after final rejection (37 CFR 1.29(a))

149 740 249 370 For each additional invention to be examined (37 CFR 1.129(b))

179 740 279 370 Request for continued Examination (RCE)

169 900 169 900 Request for expedited examination of a design application

Other fee (specify) _____

SUBTOTAL (3)

* Reduced by Basic Filing Fee Paid

FEE CALCULATION (fees effective 10/01/96)

1. FILING FEE

Large Entity Small Entity Fee Fee

Code (\$) Code (\$) Description Paid

101 740 201 370 Utility filing fee **\$740.00**

106 330 206 165 Design filing fee

107 510 207 255 Plant filing fee

108 740 208 370 Reissue filing fee

114 160 214 80 Provisional filing fee

SUBTOTAL (1) (\$) 740.00

2. CLAIMS

Extra

Fee from below

Fee Paid

Total claims **34** - 20 = **14** X **\$18** = **\$252**

Independent Claims **1** - 3 = **X** =

Multiple Dependent Claims **X** =

Large Entity Small Entity Fee Fee

Code (\$) Code (\$) Description

103 18 203 9 Claims in excess of 20

102 84 202 42 Independent claims in excess of 3

104 280 204 140 Multiple dependent claim

109 84 209 42 Reissue independent claims over original patent

110 18 210 9 Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 252.00

SUBMITTED BY

Name (Print/Type)

Michael E. Taken

Registration No.

28,120

Telephone

(414) 271-7590

Signature

Michael E. Taken

Date

2/27/02

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)	First Named Inventor	C. Raymond Cheng
	Title	Exhaust Aftertreatment Device with Flow Diffuser
	Atty. Docket Number	4695-00008

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

February 27, 2002

Date

Michael E. Taken

Signature

Michael E. Taken

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(b)(iii)).**

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